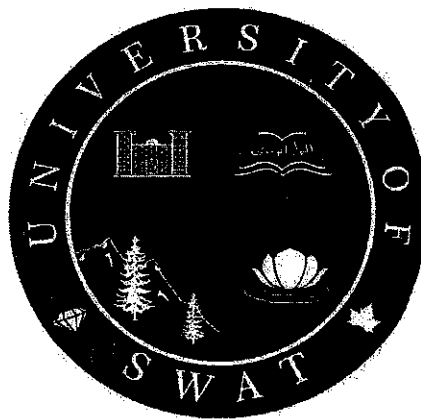


RULES FOR RESEARCH PROJECTS FUNDED BY NATIONAL AND INTERNATIONAL DONORS



**Office of the Research, Innovation
and Commercialization (ORIC)**

University of Swat

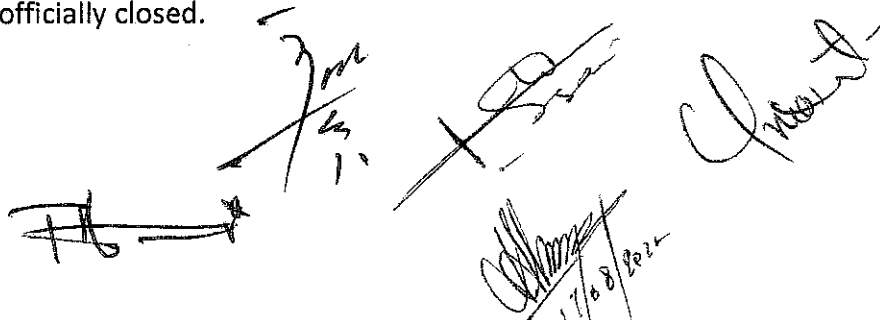
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17/08/2022

INTRODUCTION

Research grants come from national and international partners/donors but are often subject to legally binding contracts which ensure that, among other things, the funds are utilized for the stated/agreed items and the study is completed in a timely fashion. However, studies vary in their scope, purpose, policy relevance and strategic importance. Such studies can also be brought-in by researchers and faculty through nationally or internationally competitive bidding or can be offered directly to the University by the donors. The following guidelines are recommended to streamline the financial management of externally funded research studies at the University of Swat.

A. GENERAL GUIDELINES

- i. The timely completion of quality research studies should be the most important consideration.
- ii. Institutional overheads should be utilized to support research-related activities such as small research grants, partial support for researchers'/faculty's international conference participation both nationally and internationally, and research-related capacity building activities.
- iii. If provisions in a legally binding study contract/agreement are contradictory to University of Swat Financial Rules, the legally-binding contract/agreement would prevail.
- iv. In cases, where a legally binding study agreement is silent or at the very best ambiguous, University of Swat's Financial Rules would apply subject that these rules will not endanger timely and quality completion of the research study.
- v. The Principal Investigator will be competent on the execution, management, engaging personnel and financial matters of the project in light of the financial rules of University of Swat.
- vi. Equipment, assets and vehicles purchased from study funds and utilized for successful completion of study will be the property of University of Swat once the study is officially closed.

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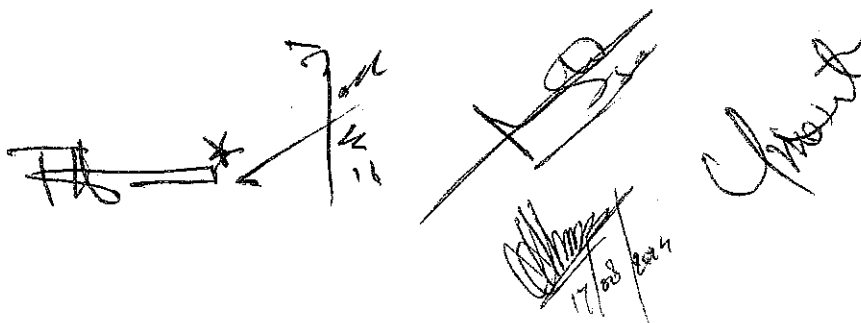
- vii. If there is any saving/unspent amount in a study (after deducting all approved expenditures/costs institutional overheads as per study agreement), the principal investigator will inform the donor and would request, as he/she feels appropriate, to: (1) spend the saving on additional research that PI can justify to donor as worthwhile; (2) request the donor to allow the saving as contribution to University of Swat research activities ;or,(3)to return back the funds to the donor, if the donor desires so.
- viii. Research projects will be subject to a separate joint bank account (to be operated by the PI and the Treasurer). This account will be closed at the completion of the study.
- ix. Each research output from externally funded research must include University of Swat logo and/or appropriate acknowledgement of University of Swat.
- x. All correspondence including financial matters related to research projects should be routed through ORIC.
- xi. All research projects funded and awarded by the Higher Education Commission and other government/Non-governmental institutions will be executed as per the agreement/award letter.

B. OTHER GUIDELINES

B-1 Research Grants under the University of Swat Banner

All national or international research grants channeled through University of Swat will be dealt under this category.

- i. If a study: (a) has a provision of institutional overhead in the award letter and (b) its research output comes under University of Swat's name and logo, has an automatic green signal and the University of Swat may sign a legally binding agreement.
- ii. If a study lacks on some of the criteria defined in the preceding para, the principal investigator of the proposed study must justify University of Swat's engagement in it. Following justifications may be acceptable in this regard:

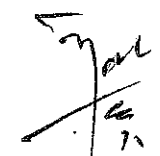
The block contains several handwritten signatures and initials. On the left, there is a signature that appears to be 'H.A.' followed by a star symbol and some vertical lines. In the center, there is a large, bold signature that looks like 'S.A.' with a date '17/08/2014' written below it. To the right of this, there is another signature that appears to be 'Chait'.

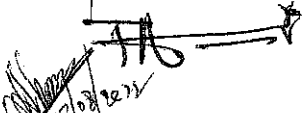
- a. The study will gather data, skills development and reputation for University of Swat which may help the University in accomplishing its research agenda and has strategic importance for the University of Swat long-term vision.
- b. The importance of the proposed research for the national policy and development objectives.
- c. Potential to demonstrate University of Swat's research capacity in an emerging research field and potential to bring additional studies in the future.
- d. Any other justification that seems logical and relevant.

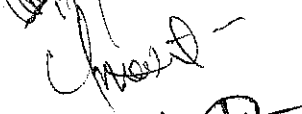
B-2 Research Grants without University of Swat Name/Logo:


If a study/activity is awarded directly to a researcher/faculty member without a formal legal agreement with the University of Swat, and is to be carried out, published and disseminated without the University of Swat's name and logo, it will be considered under the category of a consultancy and will be dealt as per the approved consultancy policy of University of Swat.

1. Prof. Dr Jafar Khan, Dean, FPNS
2. Dr Fazal Akbar, Associate Professor
3. Dr Haider Ali, Associate Professor
4. Dr Umar Hayat, Associate Professor
5. Dr Anwar Hussain, Associate Professor

Convener 

Member 

Member 

Member 

Member/Secretary 